

MEMORANDUM OF UNDERSTANDING BETWEEN THE FSCA & LOCAL AND FOREIGN JURISDICTIONS/BODIES

AUTHORITY	DATE	
LOCAL AUTHORITIES		
1. Registrar of Banks, South African Reserve Bank (new MoU signed on 28 September 2018)	08 December 1998	
2. Financial Intelligence Centre (new MoU signed on 28 September 2018)	04 October 2012	
3. Office Of the Pension Fund Adjudicator	10 May 2016	
4. FAIS Ombud	10 May 2016	
5. Council for Medical Schemes	28 November 2017	
6. Prudential Authority	28 September 2018	
7. National Credit Regulator	1 October 2018	
FOREIGN AUTHORITIES		
8. United States Securities and Exchange Commission – Communiqué	March 1995	
9. United Kingdom Securities and Investment Board (later the Financial Services Authority)	30 October 1995	
10. Securities and Exchange Commission of the Republic of China (Taiwan)	March 1996	
11. United States Commodity Futures Trading Commission – Communiqué	May 1997	
12. Securities Commission of Malaysia	31 October 1997	
13. Superintendencia De Valores Y Seguros of Chile	May 1998	
14. Securities and Futures Commission of Hong Kong	September 1998	
15. Comision Nacional De Valores of Argentina	26 May 1999	
16. Australia Securities and Investments Commission	25 May 1999	
17. Financial Supervision Commission of the Isle of Man	10 October 1999 19 October 1999	
18. Bank of Mozambique	04 November 1999	
19. Securities and Exchange Commission of the Republic of Zambia	04 November 1999	
20. Central Bank of Swaziland	04 November 1999	
21. Guernsey Financial Services Commission	May 2000	
22. The Insurance Supervisory Department of the United Republic of Tanzania (now Tanzania Insurance Regulatory Authority)	June 2000	

23. Central Bank of Lesotho	19 June 2000	
24. Commission De Surveillance Du Secteur Financier (Grand- Duchy of Luxembourg)	9 November 2000	
25. Reserve Bank of Malawi	21 September 2000	
26. Commission Des Operations De Bourse of France	19 October 2000	
27. The Office of the Securities Exchange Commission of Thailand	November 2000	
28. Ministry of Finance of Namibia	05 April 2001	
29. The Capital Markets and Securities Authority of Tanzania	04 April 2001	
30. The Ministry of Finance of Zimbabwe	April 2001	
31. The Monetary Authority of Singapore	June 2001	
32. Comissao de Valores Mobiliarios of Brazil	June 2001	
33. The National Bank of Angola	11 October 2001	
34. The Insurance General Inspection of Mozambique (now Insurance Supervisory Institute of Mozambique)	October 2001	
35. Bundesaufsichtsamt Für Den Wertpapierhandel (Germany)	12 October 2001	
36. Ministry of Finance of Swaziland	October 2001	
37. Securities and Exchange Commission of Nigeria	March 2002	
38. Commissione Nazionale Per Le Societa E La Borsa of Italy	23 May 2002	
39. Hellenic Capital Market Commission, Greece	09 October 2002	
40. China Securities Regulatory Commission	29 October 2002	
41. Office of the Registrar of Pension, Insurance of Zambia	30 October 2003	
42. The Financial Services Commission of Mauritius	30 October 2003	
43. The Financial Services Authority, United Kingdom (now Financial Conduct Authority) Updated MoU was signed on 26 March 2019 due to Brexit.	21 January 2004	
44. Capital Markets Authority of the Republic of Uganda	01 April 2004	
45. The Ministry of Finance and Development Planning of Botswana	28 October 2004	
46. Bermuda Monetary Authority	2005	
47. Israeli Securities Authority	06 October 2005	
48. Securities and Exchange Commission of Ghana	June 2006	

49. Financial Supervisory Commission of Taiwan	02 October 2006	
50. Emirate Securities and Commodities Authority	11 April 2007	
51. Dubai Financial Services Authority	27 May 2008	
52. Non-bank Financial Institutions Regulatory Authority of Botswana	September 2009	
53. Malta Financial Services Authority	26 November 2009	
54. Capital Markets Authority of Kenya	February 2012	
55. Angola Capital Markets Commission	26 November 2012	
56. Jersey Financial Services Commission	15 January 2015	
57. Registrar of Insurance, Pension & provident Funds (Republic of Botswana)	05 April 2001	
58. Comissão do Mercado De Valores Mobiliários of Portugal	19 October 1999	
59. Namibia Financial institutions Supervisory Authority	11 April 2002	
60. Securities and Exchange Organisation of IRAN	2015	
61. European Securities and Markets Authority (ESMA) – Central Counterparts	November 2015	
62. Capital Markets Authority Sultanate of Oman	January 2016	
63. European Securities and Markets Authority (ESMA) – Credit Ratings	June 2016	
64. Abu Dhabi Global Market (FSRA)	25 July 2016	
65. Jordan Securities Commission	February 2017	
66. Bank of Russia	31 March 2017	
67. Capital Markets Authority of Kuwait	18 May 2017	
27 JURISDICTIONS BELOW AS PART OF THE ALTERNATIVE INVESTMENT FUND MANAGERS DIRECTIVE (AIFMD) (EUROPEAN UNION DIRECTIVE)		
68. Commission de Surveillance du Secteur Financier (Luxembourg)	<i>February 2014</i>	
69. Central Bank of Ireland (Ireland)	<i>February 2014</i>	
70. Polish Financial Supervision Authority (Poland)	<i>February 2014</i>	
71. Finanšu un kapitāla tirgus komisija (Latvia)	<i>February 2014</i>	
72. Estonian Financial Supervision Authority (Estonia)	<i>February 2014</i>	
73. Comisión Nacional del Mercado de Valores (Spain)	<i>February 2014</i>	
74. Autoriteit Financiële Markten (The Netherlands)	<i>February 2014</i>	

75. Romanian National Securities Commission (Romania)	<i>February 2014</i>	
76. Bank of Lithuania (Lithuania)	<i>February 2014</i>	
77. Malta Financial Services Authority (Malta)	<i>February 2014</i>	
78. Financial Supervision Commission (Bulgaria)	<i>February 2014</i>	
79. Hellenic Capital Market Commission (Greece)	<i>February 2014</i>	
80. Autorité des marchés financiers (France)	<i>February 2014</i>	
81. Cyprus Securities and Exchange Commission (Cyprus)	<i>February 2014</i>	
82. Finanstilsynet (Denmark)	<i>February 2014</i>	
83. Commissione Nazionale per le Società e la Borsa (Italy)	<i>February 2014</i>	
84. Czech National Bank (Czech Republic)	<i>February 2014</i>	
85. Comissão do Mercado de Valores Mobiliários (Portugal)	<i>February 2014</i>	
86. Finanstilsynet (Norway)	<i>February 2014</i>	
87. Finanzmarktaufsicht (Liechtenstein)	<i>February 2014</i>	
88. Fjármálaeftirlitið (Iceland)	<i>February 2014</i>	
89. Finansinspektionen (Sweden)	<i>February 2014</i>	
90. Finanssivalvonta (Finland)	<i>February 2014</i>	
91. Financial Conduct Authority (United Kingdom)	<i>February 2014</i>	
92. Národná banka Slovenska (Slovak Republic)	<i>February 2014</i>	
93. Magyar Nemzeti Bank (Hungary)	<i>April 2014</i>	
94. Financial Services and Markets Authority (Belgium)	<i>December 2014</i>	
INTERNATIONAL AND REGIONAL ORGANISATIONS (MMoU)		
95. International Organisation of Securities Commissions (IOSCO) MMoU	May 2002 (revised May 2012)	
96. International Organisation of Securities Commissions Africa & Middle East	17 May 2012	
97. Committee of Insurance, Securities and Non-Banking Authorities (CISNA) MMoU	October 2001 (revised Oct 2015)	
98. International Association of Insurance Supervisors (IAIS) MMoU	7 November 2016	