

**IN THE ENFORCEMENT COMMITTEE ESTABLISHED IN TERMS OF  
SECTION 10(3), READ WITH 10A OF THE FINANCIAL SERVICES  
BOARD ACT, 97 OF 1990**

CASE NO: **16/2009**

In the matter of:

**THE REGISTRAR OF COLLECTIVE  
INVESTMENT SCHEMES**

The Applicant

and

**CORIS CAPITAL COLLECTIVE INVESTMENT  
MANAGERS LIMITED**

Respondent

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**ORDER**

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WITH DUE CONSIDERATION to the settlement agreement in terms of section 6B(7)(a) of the Financial Institutions (Protection of Funds) Act, No 28 of 2001, I hereby determine that the Respondent has contravened paragraph 3(4)(a) of Notice 1503, issued in terms of sections 40, 46 and 85 of the Collective Investment Schemes Control Act, No 45 of 2002, and impose a penalty of R10 000 on the Respondent.

I make no order regarding costs.

Signed at **PRETORIA** on the <sup>30<sup>th</sup></sup> ..... day of **NOVEMBER 2009**.

  
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**C F Eloff**  
**Chairperson of the Enforcement Committee**