

FINANCIAL SERVICES TRIBUNAL
HEARING SCHEDULE: NOVEMBER 2018
TO FEBRUARY 2019

NO	HEARING DATE	MATTER
1	16/11/2018	R J Nel / G J Groenewald & M E Groenewald & FAIS Ombud (Case No.: FAB29/2018)
2	16/11/2018	Midcoast Financial Services & B Griffiths / A J & S J Allan & FAIS Ombud (Case No.: FAB38/2018)
3	21/11/2018	Ansie Leiding / Ample Insurance Brokers (Pty) Ltd (Case No.: FSP9/2018)
4	21/11/2018	Dimakatso Mathebula / Tracker Connect (Case No.: FSP10/2018)
5	28/11/2018	Phillip Pieters / Sapcor Harrismith (Pty) Ltd (Case No.: FSP14/2018)
6	29/11/2018	Dira Samuel Bahlekazi / Sanlam Developing Markets Limited (Case No.: FSP11/2018)
7	29/11/2018	J B Ellis / Nedbank Financial Planning (Case No.: FSP13/2018)
8	30/11/2018	Malcolm Hendricks / Overberg Wealth and Risk Management (FSP7/2018)
9	30/11/2018	Pravesh Bharosa / Helfin Risk Management (Case No.: FSP12/2018)
10	5/12/2018	B S Mahlangu / FSCA & Sanlam Insurance Limited (Case No.: FSP15/2018)
11	11/12/2018	Victor Masango / FSCA (Case No.: A19/2018)
12	28/1/2019	BSEC Derivative Brokers & 2 others / JSE (Case No.: A18/2018)
13	12/2/2019	Blue Financial Services Limited / JSE (Case No.: A17/2018)

NOTE:

1. Section 26B(9) of the Financial Services Board Act No. 97 of 1990 states the following:
“The appeal board must conduct its hearings in public, unless the chairperson of the panel which conducts the hearing rules that specific persons or groups of persons be excluded from the hearing for a reason that would be justifiable in civil proceedings before a High Court.”
2. Section 232(3) of the Financial Sector Regulation Act 9 of 2017 states the following:
“A panel must conduct any hearing it holds in public, but the person presiding over the panel may direct that a person be excluded from the hearing on any ground on which it would be proper to exclude a person from civil proceedings before the High Court.”
3. This hearing schedule is a live document and accordingly subject to change without notice.

FINANCIAL SERVICES TRIBUNAL CONTACT DETAILS:

Kim Host – (012) 428 8012

Alitah Morudu – (012) 367 7259

E-mail: LEG.Tribunal@fsca.co.za

E-mail for FSP debarments in terms of section 14(1) of the FAIS Act:
tribunal.fspdebar@fsca.co.za