

**THE FINANCIAL SECTOR CONDUCT AUTHORITY**

**In the matter between:**

**Case No: 2194**

**The Financial Sector Conduct Authority**

and

**Mrs Carol-Ann Peterson**

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**DEBARMENT ORDER IN TERMS OF SECTION 153(1) OF THE FINANCIAL SECTOR  
REGULATION ACT, NO. 9 OF 2017**

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The Financial Sector Conduct Authority, in terms of section 153(1)(a) and (c) read with section 153(2)(a) – (c) of the Financial Sector Regulation Act No. 9 of 2017, with immediate effect, hereby makes the following debarment order:

**Mrs Carol-Ann Peterson** is debarred and/or prohibited for a period of **ten (10) years** from:

- (a) providing, or being involved in the provision of, all financial products or financial services, defined in all financial sector laws;
- (b) acting as a key person of any financial institution; and/or
- (c) providing any financial services to a financial institution, whether under outsourcing arrangements or otherwise.



**Gerhard van Deventer**  
**Divisional Executive: Enforcement**  
**Financial Sector Conduct Authority**

Date of order: **17 January 2025**