

**FSCA Press Release**

26 February 2019

**FSCA warns the public to act with caution when dealing with Mr. Dian Wilhelm Goosen**

The Financial Sector Conduct Authority (FSCA) has conducted a search and seizure operation at the premises of Mr. Dian Wilhelm Goosen, who is a representative of Leon Goosen Versekeringsmakelaars (the FSP).

The FSCA received information that gave the regulator reasonable grounds to suspect that Mr. Goosen is rendering discretionary financial services to clients, for which he is not authorised, which may constitute a contravention of the Financial Advisory and Intermediary Services Act No. 37 of 2002 (FAIS Act).

The FSCA also worked closely with the Financial Intelligence Centre (FIC) in this case, which resulted in the FIC issuing a directive that no transactions should be processed on the relevant bank accounts of Mr. Goosen.

The regulatory action taken at this early stage was in fulfillment with the FSCA's objective to protect financial customers.

The FSCA warns the public to act with caution when dealing with Mr. Dian Wilhelm Goosen, and again reminds consumers who wish to conduct financial services with an institution or person to check if they are authorized to render such a service. Our toll free number is 0800 110 443, and our website is [www.fsca.co.za](http://www.fsca.co.za).

ENDS

Enquiries: Financial Sector Conduct Authority  
Email address: Communications@fsca.co.za  
Telephone: 012 422 2919

**Transitional Management Committee:**

AM Sithole (Commissioner) DP Tshidi CD da Silva JA Boyd MM du Toit LP Kekana K Gibson OB Makhubela P Mogase