



Financial Sector
Conduct Authority

FSCA FAIS NOTICE 20 of 2019

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

AMENDMENT OF NOTICES ON EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION, REGULATORY EXAMINATION AND FEES REQUIREMENTS, NO. 3 OF 2019

The Financial Sector Conduct Authority, in terms of paragraph 4(a) of the –

1. Notice on Exemption of Particular Persons from Qualification, Regulatory Examination and Fees Requirements, No 6 of 2019, published by FSCA FAIS Notice 13 of 2019;
2. Notice on Exemption of Particular Persons from Qualification, Regulatory Examination and Fees Requirements, No 7 of 2019, published by FSCA FAIS Notice 15 of 2019; and
3. Notice on Exemption of Particular Persons from Qualification, Regulatory Examination and Fees Requirements, No 8 of 2019, published by FSCA FAIS Notice 18 of 2019,

hereby amends the Schedules to the Notices by the insertion after paragraph 3(1)(a)(iv) of the following paragraph:

“(v) for the duration of the exemption, render financial services under supervision as contemplated in the Services under Supervision Notice and comply with the requirements and conditions of that Notice;”

This Notice is called the Amendment of Notices on Exemption of Particular Persons from Qualification, Regulatory Examination and Fees Requirements, No. 3 of 2019, and comes into operation on date of publication.



CD da Silva
For the Financial Sector Conduct Authority

DATE OF PUBLICATION OF NOTICE: 20 MARCH 2019