

# GUIDANCE ISSUED BY THE FINANCIAL INTELLIGENCE CENTRE

Guidance issued	Guidance subject
Public Compliance Communication 44 <sup>1</sup>	Guidance on the application of the targeted financial sanctions regime within South Africa
Public Compliance Communication 42 <sup>2</sup>	Guidance on the disclosure of facts or information contained in section 29 reports, or providing a copy of such a report to a supervisory body in terms of section 29(3) and section 45(B)(2B) of the FIC Act
Public Compliance Communication 46 <sup>3</sup>	Guidance on the commencement and enforcement of the FIC Act as amended by the FIC Amendment Act
Public Compliance Communication 48 <sup>4</sup>	Guidance on certain life insurance business issues including customer due diligence and understanding of risk in relation to their client in terms of the FIC Act
Public Compliance Communication 12A <sup>5</sup>	Guidance on outsourcing of compliance activities to third party service providers
Public Compliance Communication 52 <sup>6</sup>	Guidance on the identification of money laundering and terrorism financing risks and associated customer due diligence for clients of authorised users of an exchange in terms of the FIC Act
Public Compliance Communication 49 <sup>7</sup>	Guidance on money laundering, terrorist financing and proliferation financing risk considerations related to geographic areas
Public Compliance Communication 50 <sup>8</sup>	Guidance on measures required for the mitigation of loss of intelligence data due to reporting failures
Public Compliance Communication 43 <sup>9</sup>	Guidance on customer due diligence in relation to shared clients of accountable institutions
Public Compliance Communication 51 <sup>10</sup>	Guidance on measures relating to foreign prominent public officials, domestic prominent influential persons, their family members and known close associates
Draft Guidance Note 7A <sup>11</sup>	Guidance on the implementation of the risk management and compliance programme in terms of section 42 of the FIC Act
Public Compliance Communication 53 <sup>12</sup>	Guidance on the risk management and compliance programme in terms of section 42 of the FIC Act for DNFBPs
Public Compliance Communication 55 <sup>13</sup>	Guidance on screening of employees for competence and integrity as well as scrutinising employee information against the targeted financial sanctions lists by accountable institutions
Directive 8/2022 <sup>14</sup>	Screening of employees for competence and integrity and scrutinising of employees against applicable targeted financial sanctions lists as a money laundering, terrorism financing and proliferation financing control measure
Draft Public Compliance Communication 22A <sup>15</sup>	Guidance on information processing in terms of the FIC Act in relation to data protection
Public Compliance Communication 54 on combating of proliferation financing <sup>16</sup>	Guidance on compliance measures aimed combating proliferation financing

<sup>1</sup> <https://www.fic.gov.za/Documents/200320%20PCC%2044.pdf>

<sup>2</sup> <https://www.fic.gov.za/Documents/200228%20PCC%2042%20FINAL.pdf>

<sup>3</sup> [https://www.fic.gov.za/Documents/200330%20PCC%2046%20Application%20of%20the%20FIC%20Act\\_%20final%20for%20publication.pdf](https://www.fic.gov.za/Documents/200330%20PCC%2046%20Application%20of%20the%20FIC%20Act_%20final%20for%20publication.pdf)

<sup>4</sup> <https://www.fic.gov.za/Documents/200331%20PCC%2048%20final.pdf>

<sup>5</sup> <https://www.fic.gov.za/Documents/210324%20PCC%2012A%20Outsourcing%20FINAL.pdf>

<sup>6</sup> [https://www.fic.gov.za/Documents/220325%20PCC52%20\\_%20auth%20user.pdf](https://www.fic.gov.za/Documents/220325%20PCC52%20_%20auth%20user.pdf)

<sup>7</sup> [https://www.fic.gov.za/Documents/210329%20PCC%2049\\_%20ML\\_TF\\_PF%20risk%20regarding%20geographic%20risk.pdf](https://www.fic.gov.za/Documents/210329%20PCC%2049_%20ML_TF_PF%20risk%20regarding%20geographic%20risk.pdf)

<sup>8</sup> [https://www.fic.gov.za/Documents/210330%20PCC%2050%20\(mitigation%20of%20loss%20of%20intelligence\)%20FINAL.pdf](https://www.fic.gov.za/Documents/210330%20PCC%2050%20(mitigation%20of%20loss%20of%20intelligence)%20FINAL.pdf)

<sup>9</sup> <https://www.fic.gov.za/Documents/200227%20PCC%2043%20final.pdf>

<sup>10</sup> [https://www.fic.gov.za/Documents/211203\\_PCC%2051%20FPPO%20DPIP\\_%20FINAL.pdf](https://www.fic.gov.za/Documents/211203_PCC%2051%20FPPO%20DPIP_%20FINAL.pdf)

<sup>11</sup> [https://www.fic.gov.za/Documents/220401%20\\_FIC%20Guidance%20Note%2007A%20final.pdf](https://www.fic.gov.za/Documents/220401%20_FIC%20Guidance%20Note%2007A%20final.pdf)

<sup>12</sup> <https://www.fic.gov.za/Documents/220830%20PCC%2053%20RMCP%20Final.pdf>

<sup>13</sup> [https://www.fic.gov.za/Documents/230331\\_PCC%2055%20Screening%20of%20Employees.pdf](https://www.fic.gov.za/Documents/230331_PCC%2055%20Screening%20of%20Employees.pdf)

<sup>14</sup> [https://www.fic.gov.za/Documents/230331\\_Directive%208\\_Screening%20Employees.pdf](https://www.fic.gov.za/Documents/230331_Directive%208_Screening%20Employees.pdf)

<sup>15</sup> <https://www.fic.gov.za/Documents/CONSULTATION%20NOTE%20RELATED%20TO%20DRAFT%20PCC%2022A.pdf>

<sup>16</sup> [https://www.fic.gov.za/Documents/220930\\_PCC%2054%20Combating%20Proliferation%20Financing%20FINAL.pdf](https://www.fic.gov.za/Documents/220930_PCC%2054%20Combating%20Proliferation%20Financing%20FINAL.pdf)