



## **FSCA COMMUNICATION 11 OF 2022 (FAIS)**

### **Extension of period referred to in FSCA COMMUNICATION 19 OF 2021 - Submission of representative register**

#### **1. PURPOSE**

The purpose of this Communication is to inform licensed financial services providers (“FSPs”) of the Financial Sector Conduct Authority’s (FSCA) decision to extend the timeline to integrate their systems with the FSCA web service by using the SOAP API (simple object access protocol application programming interface) of the FSCA.

#### **2. BACKGROUND**

On 02 November 2021, the FSCA published FSCA Communication 19 of 2021 (the communication). The purpose of the communication was to inform FSPs of the change in the method of submission of the representative register to the FSCA in order to comply with section 13 of the Financial Advisory and Intermediary Services Act, 2002 (Act 37 of 2007), read with the licensing conditions imposed on authorised financial services providers. FSPs must, within 15 days of any changes to its representative register, inform the Financial Sector Conduct Authority of any changes affected to the details as contained in that register using the different methods listed in the communication. In terms of the communication, the submission of representative registers in Microsoft Excel format would no longer be accepted after **31 March 2022** as all FSPs were required to integrate their systems with the FSCA web service by no later than **31 March 2022**.

#### **3. EXTENSION OF DEADLINE TO CHANGES TO THE ELECTRONIC SUBMISSION OF REPRESENTATIVE REGISTER**

The FSCA has received numerous requests to extend the timeline stipulated in FSCA Communication 19 of 2021 (FAIS). After careful consideration of the requests and the reasons provided, the FSCA hereby confirms that an extension has been granted until 30 June 2022. The integration to the FSCA web service must be completed ***on or before 30 June 2022***.

#### **4. ENQUIRIES**

For more information regarding this Communication contact [Lerato.Tleane@fsc.co.za](mailto:Lerato.Tleane@fsc.co.za).

**FM Mabaso**

A handwritten signature in black ink, appearing to be 'FM Mabaso', with a long horizontal stroke extending to the right.

**Divisional Executive: Licensing and Business Centre  
Financial Sector Conduct Authority**

**Date of publication: 24 March 2022**